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SECURITIES AND EXCHANGE COMMISSION

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SEC Registration No. PW00000727
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Industry Classification
Company Type Stock Corporation

Document Information

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GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2019 (amended 11 November 2019)

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <p align="center">CENTRAL AZUCARERA DE TARLAC, INC.</p>		DATE REGISTERED: <p align="center">19/06/27</p>
BUSINESS/TRADE NAME: <p align="center">CAT</p>		FISCAL YEAR END: <p align="center">June 30</p>
SEC REGISTRATION NUMBER: <p align="center">727</p>		
DATE OF ANNUAL MEETING PER BY-LAWS: <p align="center">Last Tuesday of January</p>		CORPORATE TAX IDENTIFICATION NUMBER (TIN) <p align="center">000-229-931</p>
ACTUAL DATE OF ANNUAL MEETING: <p align="center">29-Jan-19</p>		WEBSITE/URL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: <p align="center">SAN MIGUEL, TARLAC CITY</p>		E-MAIL ADDRESS: <p align="center">janette.pena@gmail.com</p>
COMPLETE BUSINESS ADDRESS: <p align="center">MAKATI OFFICE: 2259 PASONG TAMO EXT., MAKATI/ SAN MIGUEL, TARLAC CITY</p>		FAX NUMBER: <p align="center">818-8867</p>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <p align="center">SGV & Co. - Maria Veronica Andresa R. Pore</p>		SEC ACCREDITATION NUMBER (if applicable): <p align="center">0662-A</p>
		TELEPHONE NUMBER(S): <p align="center">818-6270</p>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <p align="center">MANUFACTURE OF SUGAR & BY - PRODUCTS</p>	INDUSTRY CLASSIFICATION: <p align="center">FOOD & BEVERAGE</p>	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
CAT RESOURCE & ASSET HOLDINGS INC.	CS201414249	2259 Pasong Tamo Ext, Makati
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
LUISITA LAND CORPORATION	73470	2259 Pasong Tamo Ext, Makati

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: CENTRAL AZUCARERA DE TARLAC, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates. 	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC) 	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC) 	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person
<p>7. Persons who provide any of the following services:</p> <ul style="list-style-type: none"> <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities 	<p>8. <input type="checkbox"/> None of the above</p>
<p>Describe nature of business:</p>	

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CENTRAL AZUCARERA DE TARLAC, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	400,000,000.00	1.00	400,000,000.00
TOTAL		400,000,000	TOTAL P	400,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	323	Common	272,279,659		1.00	272,279,659.00	96.36
TOTAL			272,279,659	TOTAL	TOTAL P	272,279,659.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Chinese	26	Common	1,050,380		1.00	10,259,101.00	3.64
Spanish	34	Common	3,258,960				
Others	1	Common	5,221,041				
British	1	Common	15,030				
American	7	Common	713,690				
Percentage of Foreign Equity :			TOTAL 10,259,101	TOTAL	TOTAL P	282,538,760.00	

TOTAL SUBSCRIBED P 282,538,760.00

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	323	Common	272,279,659	1.00	272,279,659.00	96.36
TOTAL			272,279,659	TOTAL P	272,279,659.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Chinese	26	Common	1,050,380	1.00	10,259,101.00	3.64
Spanish	34	Common	3,258,960			
Others	1	Common	5,221,041			
British	1	Common	15,030			
American	7	Common	713,690			
TOTAL			10,259,101	TOTAL P	10,259,101.00	
TOTAL PAID-UP P					282,538,760.00	

NB: (1) exclusive of 7,200 treasury shares; (2) par value reduced from Php10 to Php1 (SEC Cert of Filing of Amended AOI dated 12 Oct 2016)
 * Common, Preferred or other classification
 ** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CENTRAL AZUCARERA DE TARLAC, INC.

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. MARTIN P. LORENZO 117 DINGALAN ST., AYALA ALABANG, MIINT	Filipino	N	C	M	Y	CEO	EX COM/C	135-558-840
2. FERNANDO C. COJUANGCO 212 CUENCA ST., AYALA ALABANG VILLAGE MIINT	Filipino	N	M	M	Y	PRES/COO	EX COMM/M	104-174-957
3. FERNAN VICTOR P. LUKBAN NO.6 TYLER, NORTH GREENHILLS, SAN JUAN MM	Filipino	N	M	M	Y	TREAS*	EX COM/M	112-212-739
4. MARCO P. LORENZO 2093B REGENT PARKWAY, 21ST DRIVE BONI GLOB CITY TAGUIG	Filipino	N	M	M	Y	N/A	N/A	105-286-518
5. VIGOR D. MENDOZA II 7 SOLIVEN AVE., LOYOLA GRAND VILLAS QUEZON CITY	Filipino	N	M	M	Y	N/A	A/M	135-791-444
6. RENATO B. PADILLA 46 MACTAN ST., AYALA HEIGHTS SUBDIVISION OLD BALARA QC	Filipino	N	I	M	Y	N/A	CG/C	129-425-833
7. BENJAMIN I. ESPIRITU 6 FERNSVILLE ST., WHITE PLAINS, QC	Filipino	N	I	M	Y	N/A	A/C; CG/M	106-392-124
8. CECILE D. MACAALAY 19 SOLIVEN III AVENUE, LGV, QC	Filipino	N		F	Y	CFO	N/A	106-950-984
9. JANETTE L. PEÑA 20 MOLAVE PLACE, FORBES PARK, MKTI	Filipino	N		F	N	COR SEC	N/A	132-173-276
10. ADDISON B. CASTRO UNIT 409 PEARL BLDG., ROSEWOOD POINTE CONDO, ACACIA ESTATES, TAGUIG	Filipino	N		M	N	ASST. COR SEC; COMPLIANCE	N/A	107-447-295
11.								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CENTRAL AZUCARERA DE TARLAC, INC.

TOTAL NUMBER OF STOCKHOLDERS: 394 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES** 390

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **Php6,125,470,129.00**

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. PCD NOMINEE CORPORATION (FILIPINO) FILIPINO	CS	260,447,724	260,447,724.00	92.00%	260,447,724.00	477-484-900
	TOTAL	260,447,724	260,447,724.00			
2. PCD NOMINEE CORPORATION (FOREIGN) OTHERS	CS	9,396,241	9,396,241.00	3.32%	9,396,241.00	477-484-900
	TOTAL	9,396,241	9,396,241.00			
3. MARILES C. ROMULO FILIPINO	CS	441,240	441,240.00	0.16%	441,240.00	NO TIN ON RECORD
	TOTAL	441,240	441,240.00			
4. MA. MERCE FORMENTI OLLER SPANISH	CS	430,880	430,880.00	0.15%	430,880.00	NO TIN ON RECORD
	TOTAL	430,880	430,880.00			
5. O' MARINA SOLDEVILLA SANTIAGO SPANISH	CS	369,040	369,040.00	0.13%	369,040.00	NO TIN ON RECORD
	TOTAL	369,040	369,040.00			
6. JUAN GALOBART SENCHERMES SPANISH	CS	326,160	326,160.00	0.11%	326,160.00	NO TIN ON RECORD
	TOTAL	326,160	326,160.00			
7. VALERIO ALCANTARA FILIPINO	CS	280,160	280,160.00	0.10%	280,160.00	NO TIN ON RECORD
	TOTAL	280,160	280,160.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				95.97%	271,691,445.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CENTRAL AZUCARERA DE TARLAC, INC.						
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. CARMEN GALOBART DE LA RIVA SPANISH	CS	277,440	277,440.00	0.10%	277,440.00	NO TIN ON RECORD
	TOTAL	277,440				
9. EDUARDO GALLARZA IRAGORRI SPANISH	CS	272,560	272,560.00	0.10%	272,560.00	NO TIN ON RECORD
	TOTAL	272,560				
10. NESTOR C. MENDOZA FILIPINO	CS	250,960	250,960.00	0.09%	250,960.00	NO TIN ON RECORD
	TOTAL	250,960				
11. CHARLES V. MORTON AMERICAN	CS	243,440	243,440.00	0.09%	243,440.00	NO TIN ON RECORD
	TOTAL	243,440				
12. WILLINGTON CHUA FILIPINO	CS	233,100	233,100.00	0.08%	233,100.00	NO TIN ON RECORD
	TOTAL	233,100				
13. LIM BENG CHEE CHINESE	CS	231,840	231,840.00	0.08%	231,840.00	NO TIN ON RECORD
	TOTAL	231,840				
14. RECTOR DEL SEMINARIO MAYOR DE SAN CARLOS FILIPINO	CS	221,480	221,480.00	0.08%	221,480.00	NO TIN ON RECORD
	TOTAL	221,480				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.61%	1,730,820.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CENTRAL AZUCARERA DE TARLAC, INC.						
TOTAL NUMBER OF STOCKHOLDERS:			<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH</small>			
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. NELLIE C. DELGADO FILIPINO	CS	219,040	219,040.00	0.08%	219,040.00	NO TIN ON RECORD
	TOTAL	219,040	219,040.00			
16. THOMAS J. FORD AMERICAN	CS	210,320	210,320.00	0.07%	210,320.00	NO TIN ON RECORD
	TOTAL	210,320	210,320.00			
17. FRANCISCO LON MARTIN FILIPINO	CS	204,400	204,400.00	0.07%	204,400.00	NO TIN ON RECORD
	TOTAL	204,400	204,400.00			
18. TERESA MARTINEZ VDA DE GUTIERRES SPANISH	CS	198,160	198,160.00	0.07%	198,160.00	NO TIN ON RECORD
	TOTAL	198,160	198,160.00			
19. HEIRS OF MA. VICTORIA MARFA SATRUSTEGUI SPANISH	CS	178,720	178,720.00	0.06%	178,720.00	NO TIN ON RECORD
	TOTAL	178,720	178,720.00			
20. MA. ISABEL MARFA SATRUSTEGUI SPANISH	CS	178,720	178,720.00	0.06%	178,720.00	NO TIN ON RECORD
	TOTAL	178,720	178,720.00			
21. OTHERS (Indicate the number of the remaining stockholders)	CS	7,964,731	7,964,731.00	2.81%	7,964,731.00	
	TOTAL	7,964,731	7,964,731.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				3.22%	282,538,760.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY			
CORPORATE NAME: CENTRAL AZUCARERA DE TARLAC, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N/A		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	N/A		
1.5 OTHERS	N/A		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A			
3. TREASURY SHARES -	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	7,200		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR - PhP203,309,745			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: N/A			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	50,856,976.80	28/06/18	
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N/A			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: N/A			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
22,740,784.00	7	198	315

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **JANETTE L. PEÑA** Corporate Secretary of **CENTRAL AZUCARERA DE TARLAC, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

NOV 13 2019

Done this _____ day of _____, 2019 in MAKATI CITY.



(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in Makati City on NOV 13 2019 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Philippine Passport No. P5811162A issued at DFA MANILA on 29 JAN 2018.

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PAGE NO. 53
BOOK NO. 42
SERIES NO. 2019

ATTY. VIRGILIO R. BATAJALA
NOTARY PUBLIC FOR MAKATI CITY
APPT. NO. M-87- UNTIL DEC. 31, 2020
ROLL OF ATTY. NO. 48348
MCLE COMPLIANCE NO. VI-022250/4-4-2019
IBP O.R No. 706762-LIFETIME MEMBER JAN. 29, 2007
PTR No. 7333020- JAN 03, 2019- MAKATI CITY
EXECUTIVE BLDG. CENTER MAKATI AVE., COR., JUPITER ST.
MAKATI CITY

BENEFICIAL OWNERSHIP DECLARATION

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
MARTIN P. LORENZO	117 DINGALAN ST., AYALA ALABANG, MUNT	FILIPINO	16-Jun-65	135-558-840	36.41	I	A,C,D,E,G
FERNANDO C. COJUANGCO	212 CUENCA ST., MUNT.	FILIPINO	28-Jul-62	104-174-957	34.98	I	A,C,D,E,G

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

UNDERTAKING

KNOW ALL MEN BY THESE PRESENTS:

I, **JANETTE L. PEÑA**, of legal age, Filipino, and with address at 20 Molave Place, Forbes Park South, Makati City, after being sworn according to law, depose and say that:

1. I am the Corporate Secretary of **CENTRAL AZUCARERA DE TARLAC** (the "**Corporation**"), a corporation existing under Philippine laws, with principal office at San Miguel, Tarlac City;
2. Last October 2014, I filed an amended General Information Sheet for the Corporation to reflect changes in the shareholders, directors, and officers that took effect on 15 October 2014, with an undertaking to request the Corporation's stock and transfer agent, Securities Transfer Services, Inc. ("**STSI**") to send the Corporation's shareholders a written request for updated information, including their TINs, by registered mail to their last known addresses on record.
3. Subsequently, the Corporation engaged the services of STSI to conduct the updating of shareholders information, including their contact details and TIN on record. STSI sent the written requests to the last known addresses on record (local and international).
4. Unfortunately, as of date, and despite these efforts, STSI's updated list still does not have the TIN numbers of all of the shareholders who are now in the top 20 listing, as reflected in the General Information Sheet 2019 (As amended on 11 November 2019). The Corporation was incorporated on 19 June 1927 and these old shareholders can no longer be located in their addresses of record.
5. I hereby undertake to continue the effort to locate the shareholders and update their records.



JANETTE L. PEÑA
Corporate Secretary

Makati City

NOV 13 2019

SUBSCRIBED AND SWORN to before me this ___ day of ___ 2019 affiant exhibiting to me her Philippine Passport No. P5811162A issued on 29 January 2018 at DFA -Manila.

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Book No. 42
Series of 2019.

ATTY. VIRGILIO F. BATAALLA
NOTARY PUBLIC FOR MAKATI CITY
APPT. NO. M-57-DATE DEC. 31, 2020
ROLL OF ATTY. NO. 43248
MCLE COMPLIANCE NO. VI-092225014-4-2019
IBP O.R No. 706762-LIFETIME MEMBER JAN. 29, 2007
PTR No. 7333020- JAN 03, 2019- MAKATI CITY
EXECUTIVE BLDG. CENTER MAKATI AVE., COR., JUPITER ST.
MAKATI CITY